

Binary Vectors with Prescribed Subsets of Consecutive Ones

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Communicated by the Managing Editors

Received September 8, 1986

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Recursion formulas and generating functions are derived for determining the number of binary vectors in n -space containing exactly k isolated m -tuples of consecutive ones. © 1988 Academic Press, Inc.

1. INTRODUCTION

This paper treats a combinatorial problem on counting the number of binary vectors with prescribed subsets of consecutive ones. The research originated from an engineering problem concerned with short-circuiting of adjacent electrodes (see [1, 2]).

We consider binary vectors in n -space, that is, vectors (x_1, \dots, x_n) in which each component x_i is either 0 or 1. An entry $x_i = 1$ is said to be an *isolated singleton* if its neighboring components x_{i-1} and x_{i+1} are 0. If $x_1 = 1$ we only require $x_2 = 0$, and if $x_n = 1$ we only require $x_{n-1} = 0$.

A pair of consecutive ones $x_i = x_{i+1} = 1$ is said to be an *isolated pair* if $x_{i-1} = 0$ and $x_{i+2} = 0$, with the obvious modification if $x_1 = x_2 = 1$ or if $x_{n-1} = x_n = 1$.

An *isolated m -tuple* of consecutive ones $x_i = x_{i+1} = \dots = x_{i+m-1} = 1$ is similarly defined.

We are interested in the number of binary vectors in n -space containing exactly k isolated m -tuples of consecutive ones. Recursion formulas and generating functions are derived for determining this number. The values are tabulated for $n \leq 25$, $0 \leq k \leq 5$, and $1 \leq m \leq 5$.

There is some overlap in this paper with the work of Guibas and Odlyzko [3] who consider a more general class of problems. Specifically, our generating function in Theorem 13 can be extracted from a general

class of generating functions given in Eq. (1.4) of [3]. However, the treatment of the special problem considered here is more elementary and direct, and the results are presented in a way that makes them more accessible for applications.

2. ISOLATED SINGLETONS

Let $S_k(n)$ denote the number of binary vectors in n -space containing exactly k isolated singletons. We begin with the case $k=0$ in which there are no isolated singletons. By direct enumeration we find

$$S_0(1)=1, \quad S_0(2)=2, \quad S_0(3)=4, \quad S_0(4)=7.$$

For example, the seven vectors in 4-space with no isolated ones are

$$(0, 0, 0, 0), \quad (0, 0, 1, 1), \quad (1, 1, 0, 0), \quad (0, 1, 1, 0), \\ (0, 1, 1, 1), \quad (1, 1, 1, 0), \quad (1, 1, 1, 1).$$

Subsequent values of $S_0(n)$ can be determined from the following recursion.

THEOREM 1. *If $n \geq 3$ we have*

$$S_0(n+1) = 2S_0(n) - \Delta S_0(n-1), \quad (1)$$

where Δ is the difference operator, $\Delta f(n) = f(n) - f(n-1)$.

Proof. Suppose (x_1, \dots, x_n) has no isolated ones, and consider the last component, x_n . If $x_n = 0$, then (x_1, \dots, x_{n-1}) has no isolated ones, and there are exactly $S_0(n-1)$ such vectors.

If $x_n = 1$, we examine x_{n-1} . We cannot have $x_{n-1} = 0$, otherwise $x_n = 1$ would be isolated, so $x_{n-1} = 1$. Now consider x_{n-2} . If $x_{n-2} = 0$, then the vector (x_1, \dots, x_{n-3}) has no isolated singletons, and there are exactly $S_0(n-3)$ such vectors. If $x_{n-2} = 1$, we examine x_{n-3} . If $x_{n-3} = 0$, then (x_1, \dots, x_{n-4}) has no isolated singletons, and there are exactly $S_0(n-4)$ such vectors. We continue this process until we examine x_2 . At this stage the vector has the form $(x_1, x_2, 1, \dots, 1)$. If $x_2 = 0$, then $x_1 = 0$ (because $S_0(1) = 1$), and if $x_2 = 1$, then x_1 can be either 1 or 0, so there are two vectors in this case. This argument shows that

$$S_0(n) = S_0(n-1) + S_0(n-3) + S_0(n-4) + \dots + S_0(1) + 2. \quad (2)$$

The term $S_0(n-2)$ does not appear on the right because the case in which $x_n = 1$ and $x_{n-1} = 0$ was excluded. Now replace n by $n+1$ in Eq. (2) to obtain

$$S_0(n+1) = S_0(n) + S_0(n-2) + S_0(n-3) + \dots + S_0(1) + 2. \quad (3)$$

Subtracting Eq. (2) from (3) we obtain the recursion formula in Theorem 1. The values of $S_0(n)$ for $n \leq 25$ are listed in Table I.

We turn next to $S_1(n)$, the number of binary vectors with exactly one isolated singleton. Direct enumeration shows that

$$S_1(1) = 1, \quad S_1(2) = 2, \quad S_1(3) = 3, \quad S_1(4) = 6.$$

The remaining values can be determined by the following recursion.

THEOREM 2. *For $n \geq 3$ we have*

$$S_1(n+1) = 2S_1(n) - \Delta S_1(n-1) + \Delta S_0(n-1). \quad (4)$$

Proof. By an argument similar to that given for Theorem 1 we find

$$S_1(n) = S_1(n-1) + S_0(n-2) + S_1(n-3) + S_1(n-4) + \cdots + S_1(1).$$

Subtract this equation from the same relation with n replaced by $n+1$ to obtain Eq. (4). Table I also lists values of $S_1(n)$ for $n \leq 25$.

Next, we consider $S_2(n)$, the number of binary vectors with exactly two isolated singletons. Direct enumeration shows that

$$S_2(1) = S_2(2) = 0, \quad S_2(3) = 1, \quad S_2(4) = 3, \quad S_2(5) = 6.$$

Subsequent values can be determined from the following recursion.

THEOREM 3. *For $n \geq 3$ we have*

$$S_2(n+1) = 2S_2(n) - \Delta S_2(n-1) + \Delta S_1(n-1). \quad (5)$$

The proof is like that for Theorem 2. In fact, exactly the same argument gives the following general theorem for $S_k(n)$ when $2 \leq k \leq [(n+1)/2]$.

THEOREM 4. *For $k \geq 2$ and $n \geq 3$ we have*

$$S_k(n+1) = 2S_k(n) - \Delta S_k(n-1) + \Delta S_{k-1}(n-1), \quad (6)$$

with initial values

$$S_k(1) = S_k(2) = \cdots = S_k(2k-2) = 0, \quad S_k(2k-1) = 1, \quad S_k(2k) = k+1.$$

Values of $S_2(n)$, $S_3(n)$, $S_4(n)$, $S_5(n)$ are given in Table I.

TABLE I
 $S_k(n)$ = Number of Binary Vectors in n -Space with Exactly k Isolated Singletons

$n =$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$S_0(n) =$	1	2	4	7	12	21	37	65	114	200	351	616	1081	1897	3329
$S_1(n) =$	1	2	3	6	13	26	50	96	184	350	661	1242	2324	4332	8047
$S_2(n) =$	0	0	1	3	6	13	30	66	139	288	591	1199	2406	4785	9445
$S_3(n) =$	0	0	0	0	1	4	10	24	59	140	318	704	1533	3288	6954
$S_4(n) =$	0	0	0	0	0	0	1	5	15	40	105	266	645	1515	3480
$S_5(n) =$	0	0	0	0	0	0	0	0	1	6	21	62	174	468	1203
$n =$	16	17	18	19	20	21	22	23	24	25					
$S_0(n) =$	5842	10252	17991	31572	55405	97229	170625	299426	525456	922111					
$S_1(n) =$	14902	27521	50700	93191	170942	312974	572030	1043852	1902044	3461067					
$S_2(n) =$	18519	36093	69967	134979	259263	496005	945477	1796244	3402072	6425199					
$S_3(n) =$	14532	30058	61612	125272	252864	507080	1010852	2004230	3954160	7765709					
$S_4(n) =$	7845	17391	37995	81970	174890	369465	773602	1606845	3313325	6786785					
$S_5(n) =$	2982	7194	16974	39282	89388	200443	443700	970920	2102720	4511463					

3. ISOLATED PAIRS

Let $P_k(n)$ denote the number of binary vectors in n -space containing exactly k isolated pairs of consecutive ones. We begin with the case $k=0$. By direct enumeration we find

$$P_0(1) = 2, \quad P_0(2) = 3, \quad P_0(3) = 6, \quad P_0(4) = 11.$$

Subsequent values can be determined from the following recursion formula.

THEOREM 5. *If $n \geq 3$ we have*

$$P_0(n+1) = 2P_0(n) - \Delta P_0(n-2). \quad (7)$$

Proof. Suppose the binary vector (x_1, \dots, x_n) has no isolated pair, and consider the last entry, x_n .

If $x_n = 0$, then (x_1, \dots, x_{n-1}) has no isolated pair, and there are exactly $P_0(n-1)$ such vectors.

If $x_n = 1$, we examine x_{n-1} . If $x_{n-1} = 0$, then (x_1, \dots, x_{n-2}) has no isolated pair, and there are exactly $P_0(n-2)$ such vectors.

If $x_n = x_{n-1} = 1$, the vector is $(x_1, \dots, x_{n-3}, x_{n-2}, 1, 1)$ and we examine x_{n-2} . We cannot have $x_{n-2} = 0$, otherwise the last two entries would be an isolated pair. Therefore, $x_{n-2} = 1$ and the vector is $(x_1, \dots, x_{n-3}, 1, 1, 1)$. Now examine x_{n-3} . If $x_{n-3} = 0$, then (x_1, \dots, x_{n-4}) has no isolated pair, and there are exactly $P_0(n-4)$ such vectors. If $x_{n-3} = 1$, we examine x_{n-4} . Repeat the process until we arrive at the vector $(x_1, 1, 1, \dots, 1)$. There are $2 = P_0(1)$ choices for x_1 , each of which results in no isolated pair, so we have

$$P_0(n) = P_0(n-1) + P_0(n-2) + P_0(n-4) + \dots + P_0(1).$$

Subtract this from the same relation in which n is replaced by $n+1$ to obtain Eq. (7). The values of $P_0(n)$ for $n \leq 25$ are listed in Table II.

Next we consider $P_1(n)$, the number of binary vectors with exactly one isolated pair of consecutive ones. By direct enumeration we find that

$$P_1(1) = 0, \quad P_1(2) = 1, \quad P_1(3) = 2, \quad P_1(4) = 5.$$

Subsequent values can be determined from the following recursion.

THEOREM 6. *For $n \geq 3$ we have*

$$P_1(n+1) = 2P_1(n) - \Delta P_1(n-2) + \Delta P_0(n-2). \quad (8)$$

Proof. By an argument similar to that given for Theorem 5 we find

$$P_1(n) = P_1(n-1) + P_1(n-2) + P_0(n-3) + P_1(n-4) + \dots + P_1(1).$$

TABLE II
 $P_k(n)$ = Number of Binary Vectors in n -Space with Exactly k Isolated Pairs of Consecutive Ones

$n =$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$P_0(n) =$	2	3	6	11	21	39	73	136	254	474	885	1652	3084	5757	10747
$P_1(n) =$	0	1	2	5	10	22	46	97	200	410	832	1679	3368	6725	13370
$P_2(n) =$	0	0	0	0	1	3	9	22	54	126	290	651	1440	3138	6762
$P_3(n) =$	0	0	0	0	0	0	0	1	4	14	40	109	280	698	1688
$P_4(n) =$	0	0	0	0	0	0	0	0	0	0	1	5	20	65	195
$P_5(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	1	6
$n =$	16	17	18	19	20	21	22	23	24	25					
$P_0(n) =$	20062	37451	69912	130509	243629	454797	848997	1584874	2958580	5522960					
$P_1(n) =$	26483	52282	102909	202020	395630	773094	1507674	2934858	5703420	11066460					
$P_2(n) =$	14424	30507	64035	133521	276758	570615	1170855	2392083	4867773	9869886					
$P_3(n) =$	3994	9268	21170	47696	106201	234028	511016	1106764	2379558	5082368					
$P_4(n) =$	546	1465	3790	9535	23425	56427	133635	311885	718595	1636970					
$P_5(n) =$	27	98	321	972	2792	7686	20466	53002	134112	332652					

Subtract this equation from the same relation with n replaced by $n + 1$ to obtain Eq. (8).

Next we treat $P_2(n)$, the number of binary vectors with exactly two isolated pairs of consecutive ones. By direct enumeration we find

$$P_2(n) = 0 \quad \text{for } n = 1, 2, 3, 4, \quad P_2(5) = 1, \quad P_2(6) = 3.$$

Subsequent values can be determined from the following theorem whose proof is similar to that of Theorem 6.

THEOREM 7. For $n \geq 3$ we have

$$P_2(n+1) = 2P_2(n) - \Delta P_2(n-2) + \Delta P_1(n-2). \quad (9)$$

More generally, for $k \geq 2$ the recursion formula for $P_k(n)$ has the following form.

THEOREM 8. For $k \geq 2$ and $n \geq 3$ we have

$$P_k(n+1) = 2P_k(n) - \Delta P_k(n-2) + \Delta P_{k-1}(n-2), \quad (10)$$

with initial values

$$P_k(n) = 0 \quad \text{for } n = 1, 2, \dots, 3k-2 \quad \text{and} \quad P_k(3k-1) = 1.$$

The values of $P_k(n)$ for $0 \leq k \leq 5$ and $1 \leq n \leq 25$ are listed in Table II.

4. ISOLATED m -TUPLES

The same type of analysis can be applied to triples, quadruples, and, in general, m -tuples. Let $A_k^{(m)}(n)$ (Tables III–V) denote the number of binary vectors in n -space with exactly k isolated m -tuples of consecutive ones, where $m > 1$. Consider first the case $k = 0$.

If $n < m$, no n -vector can contain an m -tuple of ones, so $A_0^{(m)}(n)$ is the total number of binary n -vectors,

$$A_0^{(m)}(n) = 2^n \quad \text{if } n = 1, 2, \dots, m-1. \quad (11)$$

If $n = m$, there is only one n -vector with all entries equal to 1, so

$$A_0^{(m)}(m) = 2^m - 1. \quad (12)$$

If $n = m + 1$, there are exactly two n -vectors $(0, 1, \dots, 1)$ and $(1, \dots, 1, 0)$ containing an isolated m -tuple of consecutive ones, so in this case

$$A_0^{(m)}(m+1) = 2^{m+1} - 2. \quad (13)$$

TABLE III

 $T_k(n)$ = Number of Binary Vectors in n -Space with Exactly k Isolated Triples of Consecutive Ones

$n =$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$T_0(n) =$	2	4	7	14	27	52	101	195	377	729	1409	2724	5266	10180	19680
$T_1(n) =$	0	0	1	2	5	12	26	58	126	270	575	1212	2538	5284	10943
$T_2(n) =$	0	0	0	0	0	0	1	3	9	25	63	156	374	876	2019
$T_3(n) =$	0	0	0	0	0	0	0	0	0	0	1	4	14	44	125
$T_4(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
$T_5(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$n =$	16	17	18	19	20	21	22	23	24	25					
$T_0(n) =$	38045	73548	142182	274864	531363	1027223	1985812	3838942	7421385	14346910					
$T_1(n) =$	22564	46344	94856	193553	393850	799423	1618968	3271921	6600044	13290375					
$T_2(n) =$	4582	10272	22788	50092	109242	236574	509144	1089681	2320509	4919259					
$T_3(n) =$	340	888	2248	5558	13464	32070	75296	174586	400416	909558					
$T_4(n) =$	5	20	70	220	651	1835	4980	13120	33715	84852					
$T_5(n) =$	0	0	0	1	6	27	104	357	1140	3443					

TABLE IV
 $A_k^{(4)}(n)$ = Number of Binary Vectors in n -Space with Exactly k Isolated 4-Tuples of Consecutive Ones

$n =$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$A_0^{(4)}(n) =$	2	4	8	15	30	59	116	228	449	883	1737	3417	6722	13223	26012
$A_1^{(4)}(n) =$	0	0	0	1	2	5	12	28	62	138	302	654	1404	2995	6348
$A_2^{(4)}(n) =$	0	0	0	0	0	0	0	0	1	3	9	25	66	165	404
$A_3^{(4)}(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	1	4
$A_4^{(4)}(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$A_5^{(4)}(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$n =$	16	17	18	19	20	21	22	23	24	25					
$A_0^{(4)}(n) =$	51170	100660	198015	389529	766269	1507380	2965270	5833185	11474856	22572972					
$A_1^{(4)}(n) =$	13386	28100	58755	122420	254276	526672	1088120	2242940	4613729	9472342					
$A_2^{(4)}(n) =$	966	2268	5245	11982	27078	60632	134676	297030	650988	1418736					
$A_3^{(4)}(n) =$	14	44	129	356	948	2448	6168	15228	36966	88436					
$A_4^{(4)}(n) =$	0	0	0	1	5	20	70	225	676	1940					
$A_5^{(4)}(n) =$	0	0	0	0	0	0	0	0	1	6					

TABLE V

$A_k^{(5)}(n)$ = Number of Binary Vectors in n -Space with Exactly k Isolated 5-Tuples of Consecutive Ones

$n =$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$A_0^{(5)}(n) =$	2	4	8	16	31	62	123	244	484	960	1905	3779	7497	14873	29506
$A_1^{(5)}(n) =$	0	0	0	0	1	2	5	12	28	64	142	314	686	1486	3196
$A_2^{(5)}(n) =$	0	0	0	0	0	0	0	0	0	0	1	3	9	25	66
$A_3^{(5)}(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$A_4^{(5)}(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$A_5^{(5)}(n) =$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$n =$	16	17	18	19	20	21	22	23	24	25					
$A_0^{(5)}(n) =$	58536	116127	230380	457042	906708	1798783	3568536	7079481	14044709	27862756					
$A_1^{(5)}(n) =$	6832	14531	30764	64874	136324	285571	596536	1242964	2583948	5360448					
$A_2^{(5)}(n) =$	168	413	996	2358	5500	12669	28872	65198	146046	324840					
$A_3^{(5)}(n) =$	0	1	4	14	44	129	360	964	2508	6368					
$A_4^{(5)}(n) =$	0	0	0	0	0	0	0	1	5	20					
$A_5^{(5)}(n) =$	0	0	0	0	0	0	0	0	0	0					

And if $n = m + 2$, there are exactly five n -vectors containing isolated m -tuples of consecutive ones, namely

$$(x, 0, 0), \quad (x, 0, 1), \quad (0, x, 0), \quad (1, 0, x), \quad (0, 0, x),$$

where x denotes the m -tuple of ones. Hence

$$A_0^{(m)}(m+2) = 2^{m+2} - 5. \quad (14)$$

For subsequent values of n we use the following theorem whose proof is like that of Theorem 5.

THEOREM 9. *For $n \geq m + 2$ we have*

$$A_0^{(m)}(n+1) = 2A_0^{(m)}(n) - \Delta A_0^{(m)}(n-m). \quad (15)$$

This formula, together with the initial values in (11) through (14), completely determines the numbers $A_0^{(m)}(n)$ for all n .

Next, we consider the case $k = 1$. The analysis used to determine the initial values in (11) through (14) shows that we have (for $m > 1$)

$$A_1^{(m)}(n) = 0 \quad \text{if } n = 1, 2, \dots, m-1, \quad (16)$$

and

$$A_1^{(m)}(m) = 1, \quad A_1^{(m)}(m+1) = 2, \quad \text{and} \quad A_1^{(m)}(m+2) = 5. \quad (17)$$

For subsequent values of n we use the following theorem whose proof is like that of Theorem 6.

THEOREM 10. *For $n \geq m + 2$ we have*

$$A_1^{(m)}(n+1) = 2A_1^{(m)}(n) - \Delta A_1^{(m)}(n-m) + \Delta A_0^{(m)}(n-m). \quad (18)$$

The corresponding recursion formula for $A_k^{(m)}(n)$ for $k \geq 2$ is given in the next theorem.

THEOREM 11. *For $k \geq 2$, $m \geq 1$ and $n \geq km + k - 1$ we have*

$$A_k^{(m)}(n+1) = 2A_k^{(m)}(n) - \Delta A_k^{(m)}(n-m) + \Delta A_{k-1}^{(m)}(n-m), \quad (19)$$

with initial values

$$A_k^{(m)}(n) = 0 \quad \text{for } n = 1, 2, \dots, km + k - 2, \quad (20)$$

and

$$A_k^{(m)}(km + k - 1) = 1. \quad (21)$$

5. GENERATING FUNCTIONS

This section determines generating functions for the number $A_k^{(m)}(n)$. We begin with isolated singletons, and we write $S_k(n)$ for $A_k^{(1)}(n)$.

THEOREM 12. *Let $F_k(n) = \sum_{n=1}^{\infty} S_k(n) x^n$, and let $Q(x) = 1 - 2x + x^2 - x^3$. Then we have*

$$F_0(x) = \frac{x + x^3}{Q(x)} \quad (22)$$

and, for $k \geq 1$,

$$F_k(x) = \frac{x^{2k-1}(1-x)^{k+1}}{Q(x)^{k+1}}. \quad (23)$$

Proof. When $k=0$ we have

$$F_0(x) = \sum_{n=1}^{\infty} S_0(n) x^n = x + 2x^2 + 4x^3 + \sum_{n=3}^{\infty} S_0(n+1) x^{n+1}.$$

Using the recursion in Theorem 1 we find

$$\begin{aligned} F_0(x) &= x + 2x^2 + 4x^3 + \sum_{n=3}^{\infty} \{2S_0(n) - S_0(n-1) + S_0(n-2)\} x^{n+1} \\ &= x + 2x^2 + 4x^3 + 2x\{F_0(x) - x - 2x^2\} - x^2\{F_0(x) - x\} + x^3F_0(x) \\ &= x + x^3 + (2x - x^2 + x^3)F_0(x). \end{aligned}$$

Solving this last equation for $F_0(x)$ we obtain (22). Next we take $k=1$ so that

$$F_1(x) = \sum_{n=1}^{\infty} S_1(n) x^n = x + 2x^2 + 3x^3 + \sum_{n=3}^{\infty} S_1(n+1) x^{n+1}.$$

Using the recursion in Theorem 2 together with that in (1) we obtain

$$\begin{aligned} F_1(x) &= x + 2x^2 + 3x^3 + \sum_{n=3}^{\infty} \{2S_1(n) - S_1(n-1) \\ &\quad + S_1(n-2) + 2S_0(n) - S_0(n+1)\} x^{n+1} \\ &= x + 2x^2 + 3x^3 + 2x\{F_1(x) - x - 2x^2\} \\ &\quad - x^2\{F_1(x) - x\} + x^3F_1(x) + 2xF_0(x) - \{F_0(x) - x\}. \end{aligned}$$

Hence

$$F_1(x)(1 - 2x + x^2 - x^3) = (2x - 1)F_0(x) + 2x.$$

Using (22) we obtain

$$F_1(x) = \frac{(2x - 1)(x + x^3) + 2xQ(x)}{Q(x)^2} = \frac{x^3 - 2x^2 + x}{Q(x)^2} = \frac{x(1 - x)^2}{Q(x)^2}.$$

This proves (23) when $k = 1$. The result for general $k \geq 1$ will be proved by induction on k .

We recall that $S_k(1) = S_k(2) = 0$ for $k \geq 2$. Using the recursion in Theorem 4 we find

$$\begin{aligned} F_k(x) &= \sum_{n=2}^{\infty} S_k(n+1) x^{n+1} \\ &= \sum_{n=2}^{\infty} \{2S_k(n) - S_k(n-1) + S_k(n-2) \\ &\quad + S_{k-1}(n-1) - S_{k-1}(n-2)\} x^{n+1} \\ &= (2x - x^2 + x^3) F_k(x) + (x^2 - x^3) F_{k-1}(x), \end{aligned}$$

so $Q(x) F_k(x) = (x^2 - x^3) F_{k-1}(x)$ or $F_k(x) = x^2(1 - x) F_{k-1}(x)/Q(x)$. This, together with the formula for $F_1(x)$, proves (23) by induction for all $k \geq 1$.

THEOREM 13. *If $m \geq 2$ and $k \geq 0$, let $F_k^{(m)}(x) = \sum_{n=1}^{\infty} A_k^{(m)}(n) x^n$, and let $Q(x) = 1 - 2x + x^{m+1} - x^{m+2}$. Then we have*

$$F_0^{(m)}(x) = \frac{x(2 - x^{m-1} + x^{m+1})}{Q_m(x)} \quad (24)$$

and, for $k \geq 1$,

$$F_k^{(m)}(x) = \frac{x^{km+k-1}(1-x)^{k+1}}{Q_m(x)^{k+1}}. \quad (25)$$

Proof. Using the initial values in (11) through (14) we see that

$$\begin{aligned} F_0^{(m)}(x) &= 2x + 4x^2 + \cdots + 2^{m-1}x^{m-1} + (2^m - 1)x^m + (2^{m+1} - 2)x^{m+1} \\ &\quad + (2^{m+2} - 5)x^{m+2} + \sum_{n=m+3}^{\infty} A_0^{(m)}(n) x^n \\ &= \sum_{n=1}^{m+2} (2x)^n - x^m(1 + 2x + 5x^2) + \sum_{n=m+2}^{\infty} A_0^{(m)}(n+1) x^{n+1}. \end{aligned}$$

To evaluate the last series we use the recursion formula in (15) together with the initial values in (11) through (14) to obtain

$$\begin{aligned}
 & \sum_{n=m+2}^{\infty} A_0^{(m)}(n+1) x^{n+1} \\
 &= \sum_{n=m+2}^{\infty} \{2A_0^{(m)}(n) - A_0^{(m)}(n-m) + A_0^{(m)}(n-1-m)\} x^{n+1} \\
 &= 2x \sum_{n=m+2}^{\infty} A_0^{(m)}(n) x^n - x^{m+1} \sum_{n=m+2}^{\infty} A_0^{(m)}(n-m) x^{n-m} \\
 &\quad + x^{m+2} \sum_{n=m+2}^{\infty} A_0^{(m)}(n-1-m) x^{n-1-m} \\
 &= 2x \left\{ F_0^{(m)}(x) - \sum_{n=1}^{m+1} (2x)^n + x^m(1+2x) \right\} \\
 &\quad - x^{m+1} \{ F_0^{(m)}(x) - 2x \} + x^{m+2} F_0^{(m)}(x).
 \end{aligned}$$

Using this in the above equation for $F_0^{(m)}(x)$ we find

$$\begin{aligned}
 F_0^{(m)}(x) Q_m(x) &= \sum_{n=1}^{m+2} (2x)^n - x^m(1+2x+5x^2) \\
 &\quad - \sum_{n=2}^{m+2} (2x)^n + x^m(2x+4x^2) + 2x^{m+2} \\
 &= 2x - x^m(1+x^2) + 2x^{m+2} = x(2 - x^{m-1} + x^{m+1}),
 \end{aligned}$$

which implies (24).

Next we take $k=1$. Using the initial values in (16) and (17) we find

$$\begin{aligned}
 F_1^{(m)}(x) &= x^m + 2x^{m+1} + 5x^{m+2} + \sum_{n=m+2}^{\infty} A_1^{(m)}(n+1) x^{n+1} \\
 &= x^m + 2x^{m+1} + 5x^{m+2} \\
 &\quad + \sum_{n=m+2}^{\infty} \{2A_1^{(m)}(n) - A_1^{(m)}(n-m) + A_1^{(m)}(n-1-m) \\
 &\quad + A_0^{(m)}(n-m) - A_0^{(m)}(n-1-m)\} x^{n+1} \\
 &= x^m + 2x^{m+1} + 5x^{m+2} + 2x \{ F_1^{(m)}(x) - x^m - 2x^{m+1} \} - x^{m+1} F_1^{(m)}(x) \\
 &\quad + x^{m+2} F_1^{(m)}(x) + x^{m+1} \{ F_0^{(m)}(x) - 2x \} - x^{m+2} F_0^{(m)}(x).
 \end{aligned}$$

Therefore,

$$\begin{aligned}
 F_1^{(m)}(x) Q_m(x) &= x^m - x^{m+2} + F_0^{(m)}(x)(x^{m+1} - x^{m+2}) \\
 &= x^m(1 - x^2) + x^{m+1}(1 - x)(2x - x^m + x^{m+2})/Q_m(x) \\
 &= \frac{x^m(1 - x)\{(1 + x)Q_m(x) + 2x^2 - x^{m+1} + x^{m+3}\}}{Q_m(x)} \\
 &= \frac{x^m(1 - x)^2}{Q_m(x)}
 \end{aligned}$$

so

$$F_1^{(m)}(x) = \frac{x^m(1 - x)^2}{Q_m(x)^2}. \quad (26)$$

If $k \geq 2$, a similar argument shows that

$$F_k^{(m)}(x) = \frac{x^{m+1}(1 - x)}{Q_m(x)} F_{k-1}^{(m)}(x),$$

and when this is combined with (26) we obtain a proof of (25) by induction on k .

ACKNOWLEDGMENTS

The author is indebted to S. T. Demetriades for information concerning the engineering problem that motivated this research, to Craig Maxwell for detecting some errors in the original draft, and to Igor Mikolič-Torreira for aid in preparing the tables.

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